



DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled HUMAN PEPTIDE RECEPTORS FOR LYSOPHOSPHOLIPIDS AND SPHINGOLIPIDS AND NUCLEIC ACIDS ENCODING THE SAME,  
the specification of which

(check  
one)



is attached hereto.



was filed on March 23, 1999 as

Application Serial No. 09/274,752

and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)

(patented, pending, abandoned)

Direct all telephone calls to TODD A. LORENZ at (415) 781-1989.

Address all correspondence to:

TODD A. LORENZ  
FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP  
Suite 3400, Four Embarcadero Center  
San Francisco, California 94111

File No. A-67501

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or  
first inventor:

EDWARD J. GOETZL

Inventor's signature:

*Edward J. Goetzl*

Date:

June 16, 1999

Residence:

472 Edgewood Road, San Mateo CA 94402

Citizenship:

USA

Post Office Address:

472 Edgewood Road, San Mateo, CA 94402

Full name of second  
inventor:

SONGZHU AN

Inventor's signature:

*Songzhu An*

Date:

6-16-99

Residence:

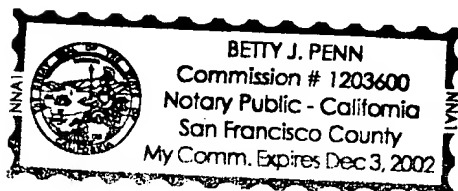
478 Warren Dr., #410, San Francisco, CA 94131

Citizenship:

CHINA

Post Office Address:

478 Warren Dr., #410, San Francisco, CA 94131

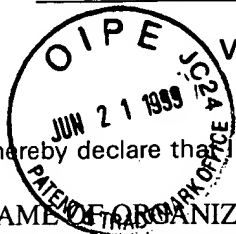


*16th day of June 1999*  
*County of San Francisco*

*Betty J. Penn*

*Comm. expires 12/3/2002*

Applicant or Patentee: Edward J. Goetz et al. Docket No. A-67501/DJB/TAL  
Serial or Patent No.: 09/274,752 U. C. Case No. 97-311-1  
Filed or Issued: March 23, 1999  
For: Human Polypeptide Receptors For Lysophospholipids and Sphingolipids and Nucleic Acids Encoding the Same



**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
**[37 CFR § 1.9(f) and § 1.27(d)]--NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: **The Regents of the University of California**  
ADDRESS OF ORGANIZATION: **1111 Franklin Street, 12<sup>th</sup> Floor**  
**Oakland, California 94607-5200**

**TYPE OF ORGANIZATION**

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION  
☒ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE [26 USC § 501(a) and § 601(c) (3)]  
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OR THE UNITED STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)  
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE [26 USC § 501(a) and § 501(c) (3)] IF  
LOCATED IN THE UNITED STATES OF AMERICA  
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES  
OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR § 1.9(e) for purposes of paying reduced fees under section 41(a) or (b) of Title 35, United States Code with regard to the invention entitled Human Polypeptide Receptors For Lysophospholipids and Sphingolipids and Nucleic Acids Encoding the Same by inventor(s) Dr. Edward J. Goetz, and Dr. Songzhu An described in

- ☐ the specification filed herewith  
☒ application serial no. 09/274,752, filed March 23, 1999  
☐ Patent no. \_\_\_\_\_, issued \_\_\_\_\_

I hereby declare that rights under **contract or law** have been conveyed to and remain with the nonprofit organization with regard to the above identified invention [except for a license to a Federal Agency pursuant to USC § 202 (c) (4)].

If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR § 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR § 1.9(d) or a nonprofit organization under 37 CFR § 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR § 1.27).

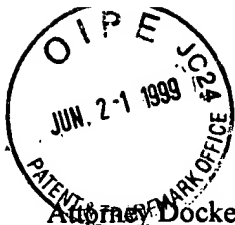
NAME N/A  
ADDRESS \_\_\_\_\_  
[ ] INDIVIDUAL [ ] SMALL BUSINESS CONCERN [ ] NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR § 1.28(b)]

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine of imprisonment, or both, under 18 USC § 1001, and may jeopardize the validity of the application, any patent issuing thereon, or any patent which this verified statement is directed.

NAME OF PERSON SIGNING: **Linda S. Stevenson**  
TITLE IN ORGANIZATION: **Principal Prosecution Analyst, Office of Technology Transfer**  
ADDRESS OF PERSON SIGNING: **1111 Franklin Street, 5<sup>th</sup> Floor**  
**Oakland, California 94607-5200**

SIGNATURE Linda S. Stevenson DATE 6/16/99



PATENT

Attorney Docket No.: A-67501/DJB/TAL/DAV  
B97-311-1

Applicant: Goetzl, *et al.*  
Serial No.: 09/274,752  
Filed: March 23, 1999  
For: **HUMAN POLYPEPTIDE RECEPTORS FOR LYSOPHOSPHOLIPIDS AND  
SPHINGOLIPIDS AND NUCLEIC ACIDS ENCODING THE SAME**  
Group: 1643  
Examiner:

**POWER OF ATTORNEY BY ASSIGNEE  
AND EXCLUSION OF INVENTOR(S) UNDER 37 C.F.R. 3.71**

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints Harold C. Hohbach, Reg. No. 17,757; Aldo J. Test, Reg. No. 18,048; Thomas O. Herbert, Reg. No. 18,612; Donald N. MacIntosh, Reg. No. 20,316; Edward S. Wright, Reg. No. 24,903; David J. Brezner, Reg. No. 24,774; Richard E. Backus, Reg. No. 22,701; James A. Sheridan, Reg. No. 25,435; Robert B. Chickering, Reg. No. 24,286; Richard F. Trecartin, Reg. No. 31,801; Steven F. Caserza, Reg. No. 29,780; Laura L. Kulhanjian, Reg. No. 33,257; Michael A. Kaufman, Reg. No. 32,988; Janet E. Muller, Reg. No. 35,294; Edward N. Bachand, Reg. No. 37,085; R. Michael Ananian, Reg. No. 35,050; Stephen M. Knauer, Reg. No. 38,208; Robin M. Silva, Reg. No. 38,304; David C. Ashby, Reg. No. 36,432; Julian Caplan, Reg. No. 14,785; Todd A. Lorenz, Reg. No. 39,754, all of the firm of FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP, as its patent attorney to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. 3.71.

An assignment of the entire interest in the above-identified subject application:

☐ was recorded on \_\_\_\_\_ at reel/frame \_\_\_\_\_ / \_\_\_\_\_.  
☒ is submitted herewith for recording.

Please direct all telephone calls to Todd A. Lorenz at (415) 781-1989 and all correspondence relative to said application to the following address:

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP  
Four Embarcadero Center, Suite 3400  
San Francisco, CA 94111-4087

Dated: 6/16/99

ASSIGNEE: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Signature: Linda S. Stevenson

Typed Name: Linda S. Stevenson

Title: Principal Prosecution Analyst

Office of Technology Licensing  
1111 Franklin Street, 12th Floor  
Oakland, California 94607